



## EPA Region 8 Brownfields Grant Guidelines Q&A Session for FY10 Potential Applicants



September 15, 2009

Presented by:

US EPA Region 8

Brownfields Program

[www.epa.gov/region8/brownfields](http://www.epa.gov/region8/brownfields)

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## Agenda

- This is not an introduction to Brownfields\*
- This is not a grant proposal guidelines training session\*\*
- **So what is this? A Question & Answer session for potential FY10 Brownfields Assessment, Revolving Loan Fund & Cleanup (ARC) grant applicants**
  1. Brief ARC overview presentation (<30 minutes)
  2. Q&A (remainder of the session)

*\*An archived copy of the slides and streaming audio of “An Introduction to Brownfields in EPA Region 8” from May 28, 2008 are available at: [http://www.clu-in.org/conf/tio/r8bfintro\\_052908/](http://www.clu-in.org/conf/tio/r8bfintro_052908/)*

*\*\*An archived copy of the slides and streaming audio of “Understanding the EPA Brownfields Proposal Guidelines” from Aug 13, 2008 are available at: [http://www.clu-in.org/conf/tio/R8BFGuidelines\\_081308/](http://www.clu-in.org/conf/tio/R8BFGuidelines_081308/)*

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## EPA Participants

- Dan Heffernan\*, Brownfields Coordinator
  - 303-312-7074 (*for general questions or getting started*)
- Ted Lanzano\*, Revolving Loan Fund Lead
- Barbara Benoy\*, Tribal Response Lead
- Karen Reed\*, State Response and Brownfields Job Training Lead
- Bill Rothenmeyer\*, Cleanup and Targeted Assessment Lead
- Stephanie Wallace\*, Montana Brownfields Lead
- Johanna Miller\*, Unit Chief
  
- David Schachterle and Michael Boydston, Legal Counsel

Contact information available at:

[http://www.epa.gov/region8/land\\_waste/bfhome/bfcont.html](http://www.epa.gov/region8/land_waste/bfhome/bfcont.html)

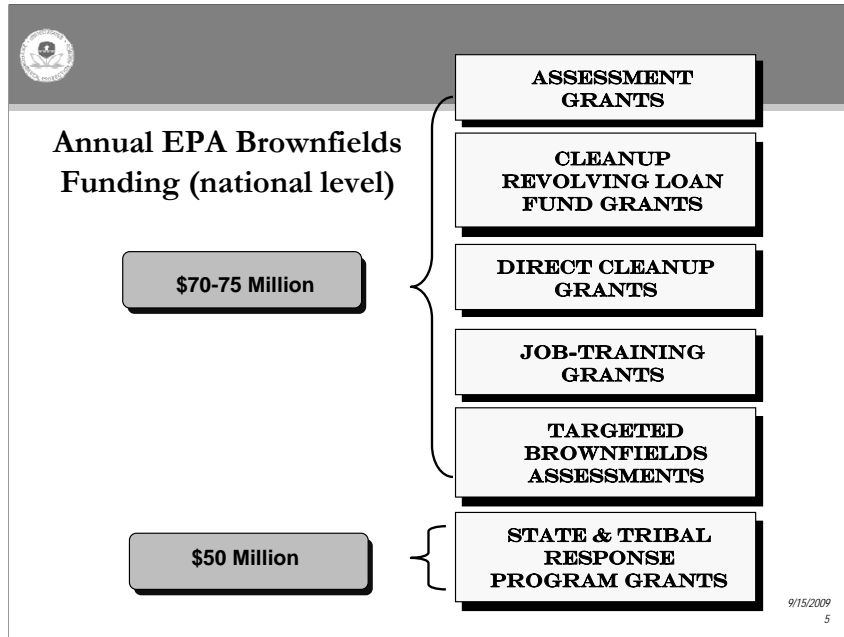
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## EPA's Investment in Brownfields Grants

- *Since 1995*, EPA has awarded 2,200 brownfields grants totaling more than \$670M. This has helped:
  - Assess more than 13,900 properties.
  - Leverage more than \$13 billion in brownfields cleanup and redevelopment funding from the private and public sectors.
  - Generate more than 54,500 jobs.

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I'm going to talk about each one in greater detail, but so you can see the big picture here I've included all of what we offer here and the funding amount on a national level.

Approximately \$70-75 goes towards the different grant programs which include, assessments grants, revolving loan funds grants, direct cleanups, job training, and brownfields targeted assessments.

In the Brownfields law in 2002, Congress also set aside \$50 million to fund state and tribal brownfield core programs. EPA works in partnership with states and tribes to implement brownfields work, and this \$50 millions funds a portion of their budgets.



## **Brownfields Assessment, Revolving Loan Fund, and Cleanup (ARC) Grants**

- **Assessment**
- **Revolving Loan Fund (RLF)**
- **Cleanup**
  - Grant types listed above commonly referred to as **ARC or 104(k) Grants**
  - ARC grants are awarded annually based on a competitive process

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## Last Year's Brownfields ARC Grant Program

- EPA received over 690 proposals for over 900 grants
  - Selected 389 grants nationally (\$111 million – including \$37.3 M in stimulus funds)
  - 253 assessment grants
  - 116 cleanup grants
  - 20 RLF grants
- Region 8 (SD, ND MT, CO, UT, WY & 27 Tribal Nations)
  - 17 proposals received
  - 9 grants awarded for a total of \$3.6 million



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## FY2010 Application Timeline

- Aug 13, 2009 – ARC Request for Proposals (RFP) Issued
- October 16, 2009 – Proposal Submission Deadline
- Spring 2010\* – Approx. \$74 million awarded nationwide



*\*tentative*

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## Assessment Grant Program

- To inventory, characterize, assess, and conduct planning and community involvement related to brownfield sites.
- **Community-wide, Site-specific** (single site) and **Assessment Coalition Grants**.
  - Community-wide
    - Up to \$200,000 for hazardous substance (including asbestos, lead paint, other environmental hazards, or up to \$200,000 for petroleum.
    - Applicant can apply in **ONE community-wide assessment proposal** for \$200k Hazardous Substance and \$200k Petroleum, for a combined total of \$400k.

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## Assessment Grant Program *(con't)*

- Site-specific
  - Up to \$200,000 for petroleum or hazardous substances (or comingled)
    - ✓ Up to \$350K per property with approved waiver.
    - ✓ No more than 1 application per eligible entity.
    - ✓ Site eligibility and property ownership eligibility *threshold requirement*.

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## Assessment Grant Program *(con't)*

### **Assessment Coalitions**

- Up to \$1 million for hazardous substance and/or petroleum (e.g. \$500k hazardous, \$500k petroleum)
  - ✓ 3 or more eligible entities
  - ✓ Must assess a minimum of 5 sites
  - ✓ Coalition members are not eligible to apply for individual, community-wide or, site-specific assessment grants in the year they apply as part of a coalition.

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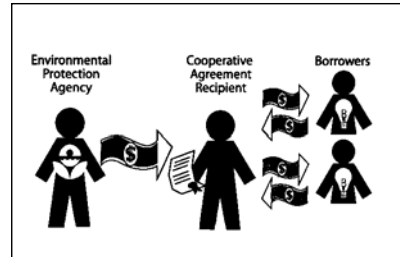


## Revolving Loan Fund Grant Program



**Only applicants who do not have an existing RLF may apply in 2010!**

- To make low interest loans to carryout cleanup activities at brownfields properties.
- Up to \$1M per eligible entity
- Coalitions may apply
- (Minimum) 60% loans
- (Maximum) 40%-cleanup subgrants
- Cost share requirement of 20%
- Nonprofit organizations are not eligible to apply.



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•An eligible entity can apply for up to \$1M for a RLF grant. This grant is a three tier process, the funds flow from EPA-Grant Recipient-Loan Recipient. These funds are generally used to provide no-interest or low interest loans to Site Owners, Developers and others; including non-profit organizations

•Up to 40% can be used for RLF Cleanup subgrants and 60% or more to capitalize a RLF

•With RLF grants, there is a 20% match share requirement, unless a hardship waiver is granted (Can be in the form of a contribution of labor, money, labor, material or services as well as fees from loan recipients)

•Grant funds can be use to purchase insurance



## Cleanup Grant Program



### **Must own site at time of application (*fee simple title*)**

- To carry out cleanup activities at brownfield sites
- Up to \$200K per property
- Hazardous substances or petroleum contamination
- May apply for up to 3 properties: Separate proposals for each property
- Applicant applying for both hazardous substance and petroleum cleanup grant funding at the same site must submit ONE proposal, which cannot exceed \$200,000
- Non-profits may apply
- Cost share requirement of 20%
- Community notification process must be followed exactly!

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## ARC Guidelines: Layout and Purpose

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## Threshold Criteria – Must Pass

- **Threshold criteria** developed for ARC grants to:
  - Ensure applicants are eligible to receive the applied for grant.
  - Increase likelihood of grantee success.
- **Applicant responses to threshold criteria**
  - Regional review
    - Pass/fail
    - Must pass all
    - Failure means- the proposal will not be competed in the national competition

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## Threshold Criteria – Must Pass

- Applicant eligibility (all ARC)
- Letter from the state or tribal environmental authority (all ARC)
- Site eligibility and property ownership eligibility (site-specific assessment and cleanup only)
- Cost share (RLF and Cleanup only)
- Legal authority to manage a Revolving Loan Fund (RLF only)
- Description of jurisdiction (RLF Only)
- Cleanup authority and oversight structure (RLF & Cleanup only)
- Community notification (Cleanup only)

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## Ranking Criteria

- Proposal must have passed the “Threshold Criteria” to be “Ranked.”
- 4 Ranking Criteria Sections for ARC applicants:
  1. Community need
  2. Project description and feasibility of success
  3. Community engagement and partnerships
  4. Project benefits

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## Ranking Criteria *(con't)*

- Each criterion is made up of Sub-criteria.
  - Answer each individually!
  - Sub-criteria may be the same or different per Ranking Criterion per Grant Type!
    - Sub-criteria point totals may vary per Ranking Criterion per grant type.
- Total possible points for each grant type is 100.

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## 1. Community Need

- Community Need - Under this criterion, ARC proposals will be evaluated on:
  - Applicant's description of the health, welfare, environmental, *and*
  - Financial needs of the targeted community as it is affected by the presence of brownfields.
- ❖ *Responses should clearly identify the sources of information used in this section.*

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## 2. Project Description/Feasibility of Success

- Project Description and Feasibility of Success - Under this criterion, proposals will be evaluated on Applicant's ability to Demonstrate:
  - Reasonable approach to the project
  - Sufficient resources to complete the project, and
  - Capability to complete the project in a timely manner.

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### 3. Community Engagement and Partnerships

- **Community Engagement and Partnerships** - Under this criterion, proposals will be evaluated on:
  - *Applicant's* plan for engaging the targeted community in the project to be funded under this grant;
  - *Extent* to which the applicant has identified and established relationships with the partners necessary to achieve the project's goals; and
  - *Extent* to which the support letters provided by community-based organizations involved with the project demonstrate specific and valuable commitments to the project.



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## 4. Project Benefits

- **Project Benefits** - Under this criterion, proposals will be evaluated on the extent to which your project's anticipated outcomes:
  - Promote general welfare through the improvement of the public health and safety, economy, and environment of the targeted community; and
  - Contribute to your overall community "vision" for the revitalization of brownfield sites.
- ❖ *Consideration will be given to how public health issues are addressed during the project, the anticipated benefits of redevelopment, and the incorporation of sustainable practices .*



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## Application Preparation Tips

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## Encourage Good Basic Proposal Prep

- Read the entire Guidelines and follow directions.
- Get mentoring from prior grantees (listed @ [www.epa.gov/brownfields/bfwhere.htm](http://www.epa.gov/brownfields/bfwhere.htm))
- Write as though the reader knows NOTHING about your community.
- Address *all* criteria – *if it doesn't apply say so and explain why.*
- Use the Proposal *Check Lists* at the end of the Ranking Criteria section.
- Avoid using acronyms and technical/organizational jargon

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## Encourage Good Basic Proposal Prep *(con't)*

- Use “white space” and obey *18* page limits (not including the 2-page cover letter)!
- There is a 2 page cover letter limit.
- Total proposal pages may not exceed 20 (18 page narrative; 2 page cover letter). *Attachments are not included in page limits!*
- 1” margins; 12 pt font; no binders; **NO COLOR.**
- Limit attachments to required and relevant documents and letters.
  - Do not attach maps and photos

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## Encourage Good Basic Proposal Prep *(con't)*

- Contact State/Tribe/EPA with eligibility questions **early.**
- Contact partners for assistance in preparing and/or reviewing your proposal!
- Contact State if applying for petroleum.
- Set up public meeting and get meaningful public input.

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## Additional Resources



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## State Brownfield Leads in Region 8

- **Colorado Dept. of Public Health and Environment**  
Dan Scheppers, 303-692-3398; [daniel.scheppers@state.co.us](mailto:daniel.scheppers@state.co.us)  
<http://www.cdphe.state.co.us/hm/rpbrownfields.htm>
- **Montana Dept. of Environmental Quality**  
Jason Seyler, 406-841-5071 ; [jseyler@mt.gov](mailto:jseyler@mt.gov)  
<http://www.deq.state.mt.us/Brownfields/Index.asp>
- **North Dakota Dept. of Health**  
Curt Erickson, 701-328-5166; [cerickso@nd.gov](mailto:cerickso@nd.gov)  
<http://www.ndhealth.gov/WM/BrownfieldsProgram.htm>

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## State Brownfield Leads in Region 8 cont.

- **South Dakota Dept. of Environment and Natural Resources**  
Kim McIntosh , 605-773-3296; Kim.McIntosh@state.sd.us  
<http://denr.sd.gov/des/gw/Brownfields/Brownfields.aspx>
- **Utah Dept. of Environmental Quality**  
Bill Rees, 801-536-4167; bree@utah.gov  
<http://www.environmentalresponse.utah.gov>
- **Wyoming Dept. of Environmental Quality**  
Vickie Meredith, 307-332-6924; vmered@state.wy.us  
<http://deq.state.wy.us/volremedi/brownfields.asp>

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## Community Brownfields Foundation (CBF)

- CBF is an EPA subgrant recipient under a Technical Assistance to Brownfield Communities (TAB) grant
- Available to provide brownfields assistance to communities across EPA Region 8
- Expertise in all aspects of brownfields identification, assessment, cleanup and reuse
- <http://www.coloradobrownfieldsfoundation.org/>
- 303-962-0940 (Jesse Silverstein, Executive Director)

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## Web-Based Resources

- **FY10 ARC Proposal Guidelines –**  
<http://epa.gov/brownfields/applicat.htm>
- **FY10 ARC Frequently Asked Questions (FAQ) –**  
<http://epa.gov/brownfields/applicat.htm>
- **An archived copy of the slides and streaming audio of “Understanding the EPA Brownfields Proposal Guidelines” from Aug 13, 2008 are available at –**  
[http://www.clu-in.org/conf/tio/R8BFGuidelines\\_081308/](http://www.clu-in.org/conf/tio/R8BFGuidelines_081308/)
- **Region 8 Brownfields Information Online –**  
[www.epa.gov/region8/brownfields](http://www.epa.gov/region8/brownfields)
- **SmartE-Online Sustainable Management Approaches and Revitalization Tools –**  
[www.smarte.org](http://www.smarte.org)
- **TAB EZ – Online tool for potential ARC applicants**  
[http://www.tabez.org/Terms\\_of\\_Use/](http://www.tabez.org/Terms_of_Use/)

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## Question & Answer time

- **Two options for submitting a question**
  - ❖ **Type a question and submit online**
- OR**
- ❖ **Unmute your line and ask your question**

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